



THE SURPLUS LINE ASSOCIATION
OF CALIFORNIA

388 MARKET STREET
SAN FRANCISCO, CA 94111

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July 10, 1991

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Bulletin #486

BULLETIN TO ALL MEMBERS:


Re: Surplus Line Broker and/or Special Lines Surplus Line
Broker/License Fees

Following are the schedule of fees and charges provided by the Department of Insurance for Surplus Line Brokers and/or Special Line Brokers. You will notice the Department fees have been increased on a uniform basis by an average of 20%.

These increased fees will become effective October 1, 1991.

State of California
Department of Insurance
Schedule of Fees and Charges
Effective October 1, 1991

Insurance Code Section	Fee Category	Current Fee	New Fee
1765 [d]	Naming up to two persons on the license	\$393.00	\$472.00
1765 [d]	Each additional person named on the license	111.00	133.00


James S. Pugh
Assistant Manager

STATE OF CALIFORNIA
DEPARTMENT OF INSURANCE
100 VAN NESS AVENUE
SAN FRANCISCO, CALIFORNIA 94102

Bulletin No. 91-5
June 28, 1991

TO: All Admitted Insurers and Other Interested Persons

SUBJECT: Department of Insurance Fee Increases

Attached for your information is a copy of the Department's new fees and charges which will become effective October 1, 1991.

The Department's fees have been increased on a uniform basis by an average of 20%. Please note that the Department has not increased fees since July 1, 1988.

In the future, the Department will adjust its fees annually.

PLEASE BE SURE THIS INFORMATION IS DISSEMINATED TO ALL YOUR DISTRICT OFFICES AND GENERAL AGENTS IN CALIFORNIA.

A handwritten signature in black ink, appearing to read "John Garamendi". The signature is fluid and cursive, with a large initial "J" and "G".

JOHN GARAMENDI
Insurance Commissioner

Attachment

STATE OF CALIFORNIA
DEPARTMENT OF INSURANCE
SCHEDULE OF FEES AND CHARGES
EFFECTIVE OCTOBER 1, 1991

INSURANCE CODE SECTION	FEE CATEGORY	CURRENT FEE		NEW FEE	
LICENSES AND PENALTIES					
INSURANCE ADJUSTER LICENSES					
14097 [a]	ORIGINAL LICENSE APPLICATION	\$39.00		\$47.00	
14097 [b]	ORIGINAL BRANCH OFFICE CERTIFICATION	25.00		30.00	
14097 [c] [d] [1]	ORIGINAL LICENSE & RENEWAL OF LICENSE	158.00		190.00	
14097 [c] [d] [2]	BRANCH OFFICE CERTIFICATE RENEWAL	32.00		38.00	
14097 [f]	DELINQUENCY, 50% OF RENEWAL, NOT TO EXCEED	39.00		47.00	
14097 [g]	RE-EXAMINATION OF APPLICANT OR HIS MANAGER	16.00		19.00	
14042	ADDITIONAL FICTITIOUS BUSINESS NAME OR CHANGE	13.00		16.00	
14042	LICENSE REISSUED IN A FICTITIOUS BUSINESS NAME	16.00		19.00	
PUBLIC INSURANCE ADJUSTER LICENSE					
INTERIM LICENSE					
15016 [a] [3]	ORIGINAL LICENSE APPLICATION	\$133.00		\$160.00	
15060 [a]	ORIGINAL BRANCH OFFICE CERTIFICATE	34.00		41.00	
15060 [b]	ORIGINAL LICENSE & RENEWAL OF LICENSE	20.00		24.00	
15060 [c] [d] [1]	BRANCH OFFICE CERTIFICATE RENEWAL	133.00		160.00	
15060 [c] [d] [2]	DELINQUENCY, 50% OF RENEWAL, NOT TO EXCEED	27.00		32.00	
15060 [f]	REEXAMINATION OF APPLICANT	34.00		41.00	
15060 [g]	ADDITIONAL FICTITIOUS BUSINESS NAME OR CHANGE	13.00		16.00	
15031		13.00		16.00	
BAIL LICENSES					
1811 [a]	AGENT, ORIGINAL FILING	\$158.00		\$190.00	
1811 [e]	AGENT, RENEWAL FILING	47.00		56.00	
1811 [b]	SOLICITOR, ORIGINAL FILING	158.00		190.00	
1811 [f]	SOLICITOR, RENEWAL FILING	47.00		56.00	
1811 [c]	PERMITEE, ORIGINAL FILING	315.00		378.00	

1811 [g]	PERMITEE, RENEWAL FILING	198.00	238.00
1808 [c]	LATE LICENSE RENEWAL FEE	TWICE FEE ABOVE	
1811 [i]	APPROVAL OF FICTITIOUS OR OTHER NAME	16.00	19.00
1811 [j]	REPLACE BAIL BOND	13.00	16.00
1811 [k]	FIRST AMENDMANT APPLICATION	8.00	10.00
1811 [l]	SUBSEQUENT AMENDMENTS	16.00	19.00

PRODUCTION AGENCY LICENSE

* 1750 [b] + 1718	BROKER LICENSE AND RENEWAL	\$56.00	\$56.00
1751 [c]	FIRST AMENDMENT TO APPLICATION	8.00	10.00
1751 [c]	SUBSEQUENT AMENDMENTS	16.00	19.00
* 1750 [c] + 1718	INSURANCE SOLICITOR LICENSE AND RENEWAL	28.00	28.00
1751 [c]	FIRST AMENDMENT TO APPLICATION	8.00	10.00
1751 [c]	SUBSEQUENT AMENDMENTS	16.00	19.00
* 1750 [d] + 1718	LIFE AND/OR DISABILITY AGENT LICENSE, RESIDENT RENEWAL	39.00	39.00
* 1750 [e] + 1718	LIFE AND/OR DISABILITY AGENT LICENSE, NONRESIDENT RENEWAL	46.00	46.00
1751 [c]	FIRST AMENDMENT TO APPLICATION	8.00	10.00
1751 [c]	SUBSEQUENT AMENDMENTS	16.00	19.00

1842	LIFE AND DISABILITY INSURANCE ANALYST ISSUANCE OR RENEWAL	158.00	190.00
* 1750 [a] + 1718	FIRE AND CASUALTY AGENT LICENSE AND RENEWAL	39.00	39.00
1751.3	NOTICE OF APPOINTMENT	16.00	19.00
1751.3	NOTICE OF TERMINATION OF APPOINTMENT	16.00	19.00
1751 [n]	AMENDED NOTICE OF APPOINTMENT OR TERMINATION	5.00	6.00
1751 [i]	RATIFICATION OF AGENCY APPOINTMENTS BY SURVIVING INSURER	103.00	124.00

SURPLUS LINE BROKER AND/OR SPECIAL LINES SURPLUS

	<u>LINE BROKER</u>		
1765 [d]	NAMING UP TO TWO PERSONS AN THE LICENSE	\$393.00	\$472.00
1765 [d]	EACH ADDITIONAL PERSON NAMED ON THE LICENSE	111.00	133.00

	<u>OTHER LICENSE</u>		
* 1750 [f] + 1718	VARIABLE CONTRACT AGENT LICENSE AND RENEWAL	\$48.00	\$48.00

* No fee increase will be imposed at this time.
Effective 1-1-92 SB2642 consolidates seven license categories into two.

SALES OF INSURANCE MANUALS

LIFE AND DISABILITY & FIRE & CASUALTY

\$5.00 \$6.00

CERTIFICATE OF AUTHORITY

10493 [6] [d]	BENEFIT AND RELIEF ASSOCIATION	\$944.00	\$1,133.00
5051	COUNTY MUTUAL FIRE INSURER	3,935.00	4,722.00
11401	FIREMEN'S, POLICEMEN'S OR PEACE OFFICER'S BENEFIT AND RELIEF ASSOCIATION	787.00	944.00
11090	FRATERNAL	3,935.00	4,722.00
11520.5	GRANTS AND ANNUITIES SOCIETY	2,361.00	2,833.00
121161	MOTOR CLUB	2,754.00	3,305.00
705.1	MUTUAL INSURER	2,361.00	2,833.00
11504	NON PROFIT HOSPITAL SERVICE PLAN	6,296.00	7,555.00
705.1	RECIPROCAL INSURER	2,361.00	2,833.00
705.1	STOCK INSURER	2,361.00	2,833.00

AMENDED CERTIFICATE OF AUTHORITY FOR EACH AMENDMENT TO CERTIFICATE OF AUTHORITY EXCEPT NAME CHANGES

\$77.00 \$92.00

LICENSE

705.1	HOME PROTECTION	\$2,361.00	\$2,833.00
1854	RATING ORGANIZATION	236.00	283.00
12389 [a] [3]	UNDERWRITTEN TITLE COMPANY	473.00	568.00

AMENDED LICENSES

12389 [a] [3]	UNDERWRITTEN TITLE COMPANY LICENSE EACH ADDITIONAL COUNTY	\$276.00	\$331.00
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NAME APPROVAL/CHANGE

891	ALL EXCEPT GRANTS AND ANNUITIES SOCIETIES, UNDERWRITTEN TITLE COMPANIES AND UNDERWRITERS GRANTS AND ANNUITIES SOCIETY	\$77.00	\$92.00
11520.5 [d] [1]	UNDERWRITERS NAME APPROVAL	158.00	190.00
882	UNDERWRITERS NAME RENEWAL	39.00	47.00
884	UNDERWRITTEN TITLE COMPANY	13.00	16.00
12389 [b] [d]	UNDERWRITTEN TITLE COMPANY	158.00	190.00

	<u>WITHDRAWAL OF CERTIFICATE OF AUTHORITY HOLDER</u>	
1076		\$787.00
11520.5 [d] [3]		473.00
		\$944.00
		568.00
	<u>AMENDMENTS TO ARTICLES OF INCORPORATION</u>	
714		\$47.00
		118.00
11520.5 [d] [2]		27.00
12168		27.00
		32.00
		32.00
	<u>AMENDMENTS TO BY-LAWS</u>	
12168		\$27.00
		\$32.00
	<u>RENEWAL FEE CERTIFICATES OF AUTHORITY/LICENSES</u>	
705		\$236.00
11090		236.00
11520.0 [d]		77.00
705		236.00
12162 [c]		236.00
1854.25		236.00
705		236.00
12416		551.00
12416		158.00
12416		200.00
12416		315.00
		240.00
		378.00
	<u>ANNUAL STATEMENT/AUDIT REPORT</u>	
900.5		\$234.00
924		393.00
924		473.00
12389 [a] [4]		418.00
12389 [a] [4]		158.00
		\$281.00
		472.00
		568.00
		502.00
		190.00

MERGER, REINSURANCE, ASSUMPTION, CONSOLIDATION

TRANSFORMATION

1011.5	ALL INSURERS EXCEPT FRATERNAL	\$3,542.00	\$4,250.00
11019	FRATERNAL	216.00	259.00
9098	COMMISSIONER'S CONSENT TO REINSURANCE BY FRATERNAL FIRE INSURER	276.00	331.00
7042	APPLICATION TO TRANSFORM COUNTY MUTUAL FIRE INSURER TO GENERAL MUTUAL INSURER	276.00	331.00
1215.2 [e]	APPLICATION FOR MERGER/CONTROL	3,147.00	3,776.00
1215.4 [d]	PRIOR APPROVAL-MATERIAL TRANSACTION OF	1,574.00	1,889.00
1091	FILING FEE FOR RETIRING INSURER	1,000.00	1,200.00

VARIABLE ANNUITIES

10506.1	DETERMINATION OF QUALIFICATION FOREIGN INSURER	\$473.00	\$568.00
10506.1	DETERMINATION OF QUALIFICATION DOMESTIC INSURER	473.00	568.00
	PLUS ADMIN COST IN EXCESS OF		
10506.2 [a]	CHANGES TO AN APPLICATION AND QUALIFICATIONS	473.00	568.00
10506.2 [b]	VARIABLE ANNUITIES-COCUMANT REVIEW	1,345.00	1,614.00
10506.2 [c]	VARIABLE ANNUITIES-TRANSFER OF ASSETS	404.00	485.00
		2,018.00	2,422.00

NONASSESSABLE POLICIES

4060	CERTIFICATE FOR DOMESTIC MUTUAL	\$158.00	\$190.00
7015.5	COUNTY MUTUAL FIRE COMPANY OR AMENDED APPLICATION	98.00	118.00
1401.5 [a]	PERPETUAL CERTIFICATE (RECIPROCAL)	315.00	378.00

STOCK PERMITS

857 [a]	ORIGINAL, SUPPLEMENTAL OR AMENDED APPLICATION FOR PERMIT TO ISSUE SECURITIES	\$2,361.00	\$2,833.00
857 [b]	APPLICATION FOR PERMIT TO ISSUE SECURITIES FOR CHANGE IN RIGHTS, PREFERENCES, PRIVILEGES OR RESTRICTIONS	315.00	378.00

857 [c]	APPLICATION FOR PERMIT TO ISSUE SECURITIES, EVIDENCING SHARE DIVIDEND OR SHARE SPLIT		
857 [d]	APPLICATION FOR ANY OTHER KIND OF PERMIT	158.00	190.00

GENERAL FILING

1601	APPOINTMENT OF AGENT FOR SERVICE OF PROCESS	\$39.00	\$47.00
12973.9	MOTOR CLUB SERVICE CONTRACTS	40.00	48.00
TITLE 10, CH. 5, CAC SEC. 2202			
1140.5	PROXY FILING	77.00	92.00
12331	SERVICE OF PROCESS UPON COMMISSIONER	16.00	19.00
12640.2	MORTGAGE GUARANTEE INSURER (POOL INSURANCE FILING)	629.00	755.00
1599	TRUSTEED ASSETS CERTIFICATE	16.00	19.00
1401.5 [a]	APPLICATION FOR ORDER PURSUANT TO SEC. 1401.5 [a]	315.00	378.00
1101 [b] [3]	APPLICATION FOR EXEMPTION UNDER SEC. 1101 [b] [3]	393.00	472.00
12973 [b]	SPECIAL LEGAL CERTIFICATE	30.00	36.00
1113	PERMIT FOR MULTIPLE INSURER OPERATION	59.00	71.00
7042	FOR EACH CERTIFIED COPY OF PROCEEDING	8.00	10.00
11620	CHANGES IN CALIFORNIA ASSIGNED RISK PLAN	787.00	944.00
11715 [c]	INITIAL FILING AND SEMI-ANNUAL REVIEW - LETTER OF CREDIT	236.00	283.00
11715 [c] [a]	ANNUAL FEE FOR LETTER OF CREDIT	158.00	190.00
11715 [d]	FILING OF A DEPOSIT AGREEMENT	158.00	190.00
11715 [d]	FILING OF AN AMENDED DEPOSIT AGREEMENT	158.00	190.00
11715 [d]	PROCESSING DEPOSIT SCHEDULES	77.00	92.00
11715 [d]	CHANGE IN DEPOSIT	39.00	47.00
11751.55	CHANGES IN WORKERS COMPENSATION RATING RULES	787.00	944.00
12973.5 [a]	FILING ANY APPLICATION OR CERTIFICATE WHERE FEE IS NOT SPECIFIED IN CODE	27.00	32.00
12973.5 [a]	CERTIFIED COPY OF CERTIFICATE OF AUTHORITY	12.00	14.00
949	CERTIFICATE OF DEPOSIT	39.00	47.00
10479.5	CERTIFICATE OF VALUATION	25.00	30.00
	PLUS COST		
12973	CERTIFICATE OF COMPLIANCE	12.00	14.00
12973	CERTIFICATE PURSUANT TO RETALIATION	12.00	14.00
12973 [b]	LEGAL AND OTHER CERTIFICATES	12.00	14.00
	TO		TO
		30.00	36.00

SECURITIES DEPOSIT AND WITHDRAWAL

940.1 & 11715 [d]	INITIAL SECURITIES DEPOSIT	\$77.00	\$92.00
940.1 & 11715 [d]	SECURITIES WITHDRAWAL, SUBSTITUTION OR ANY CHANGE	39.00	47.00
	VERIFICATION OF SECURITIES ON DEPOSIT	11.00	13.00
	CERTIFICATE OF INCUMBENCY	4.00	5.00
11693.5	WORKER'S COMPENSATION BOND OR DEPOSIT PENALTY	393.00	472.00
1590	ADDITIONAL PENALTY AFTER FIRST MONTH (PER MONTH)	473.00	568.00
	CERTIFICATE OF DEPOSIT	39.00	47.00

MISCELLANEOUS

12970	COPYING MICROFILM RECORDS, PER PAGE/FRAME	\$0.41	\$0.49
12970.1	CHARGES FOR ABOVE FIRST CLASS MAIL RATE	ACTUAL	ACTUAL
12972	ATTACHING COMMISSIONERS SEAL OF OFFICE	1.00	1.00
1751.6	COMPUTER LISTING OF AGENTS LICENSED IN CALIFORNIA		
	LIFE & DIABILITY	1,067.00	1,280.00
	FIRE & CASUALTY	936.00	1,123.00
	PARTIAL LISTING	468.00	562.00
		PLUS .01	PLUS .01
	COMPUTER LISTING OF NAMES/ADDRESSES OF ADMITTED COMPANIES	PER NAME	PER NAME
		40.00	48.00

10400	PENALTY FOR USE OF UNAUTHORIZED FORM	NOT TO EXCEED	NOT TO EXCEED
		158.00	190.00

11509	ANNUAL STATEMENT, HOSPITAL SERVICES CORPORATION	0.09	0.11
	FOR EACH INDIVIDUAL OR FAMILY UNIT COVERED BY A HOSPITAL SERVICE CONTRACT		

CALIFORNIA INSURANCE CODE	38.47	35.00
		PLUS TAX AND POSTAGE

SUBPOENA

GOVERNMENT CODE			
68093	WITNESS FEES (PER DAY)	\$35.00	\$35.00
	MILEAGE FEES (PER MILE BOTH WAYS)	0.20	0.20

EVIDENCE CODE:			
6157	COPIES OF PUBLIC RECORDS	0.41	0.41

1563	FURNISH DOCUMENTS FOR OUTSIDE PHOTOGRAPHER	12.00	12.00
1563	PRODUCTION OF DOCUMENTS	2.50	2.50
	[OTHER THAN ABOVE]		
	CLERICAL COST PER QUARTER HOUR		

1563	COPY RECORDS	0.10	0.10
	PER PAGE PLUS ABOVE CLERICAL COST:		