



March 17, 1997

BULLETIN #797

RE: LICENSING REQUIREMENTS FOR SURPLUS LINE BROKERS

[Enclosed](#) is a copy of a letter dated February 26, 1997 from Dennis Ward to Edgar S. Clark, Executive Director, SLA. It is in response to some concerns voiced by our Members regarding licensing requirements for surplus line brokers, and their employees, that transact insurance. Please review this correspondence with your staff and branch offices.

You may contact myself, Sandy Clark or Nadine Watso at 800-334-0491 (in California only), or 415-434-4900 should you have further questions or concerns. Also, Mr. Ward advises the SLA that our Members are encouraged to phone him directly with questions or concerns. Mr. Ward's telephone number in San Francisco is 415-538-4367. Thank you.

[Attachment](#)

Ilona M. Bovee
Manager, Membership and Consumer Services