



February 18, 1998

BULLETIN #819

RE: Filing Requirements for Eligible Surplus Line Insurers in California

The following pertains to the filing requirements for nonadmitted insurers who wish to maintain eligibility or become eligible surplus line carriers in California. These requirements are in accordance with California Insurance Code ("CIC") Section 1765.1.

There were no changes to the Ongoing Filing Requirements for Eligible Surplus Line Insurers in California (the "Guide") which was published on December 27, 1996 by the California Department of Insurance, and mailed to you under SLA Bulletin #789 on December 30, 1996. Additional copies of the Guide may be obtained by calling our office or visiting our Website at www.sla-cal.org.

Insurers on the California List of Eligible Surplus Line Insurers ("LESLI") are required to file updated or new information with the California Department of Insurance as soon as they become available. These documents must be in full compliance with CIC§1765.1. In addition, the insurer must also make an annual renewal of all the required documents on or before the anniversary of the insurer's LESLI approval date. Any required annual filing documents filed during the year as a part of an update need not be filed again in the annual renewal; however, the insurer must make a specific reference to this previous filing in the annual renewal.

To assist those who will be preparing the regulatory filings on behalf of nonadmitted insurers for 1998, we are enclosing a checklist of the required documents for foreign (U.S. domiciled) insurers and alien (non U.S. domiciled) insurers. For details of these requirements, please refer to the Guide or CIC§1765.1.

Also, enclosed is a recommended filing schedule that we believe to be the most cost effective way for foreign insurers to file annual renewals and updates. This schedule is merely our suggestion, insurers are free to file the required documents in any manner they see fit, provided they comply with the provisions of CIC§1765.1 and Title 10 California Code of Regulations Section 2174.

Should you have any questions regarding California filing requirements, please feel free to contact any member of the SLA Financial Department staff at (415) 434-4900. Thank you.

Linda Cheng
Manager, Financial Department

Attachment (all attachments are in PDF Format. You will need the Adobe Acrobat Reader to view the documents).
Click here if you need to install the Adobe Reader.

[Filing Checklist and Schedule](#)