



The Surplus Line
Association of California

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BULLETIN #1387

Re: Compliance with Regulatory Filing and Submittal Requirements During the COVID-19 Public Health Emergency

California Insurance Commissioner Ricardo Lara has issued a notice in response to the disruption caused by the COVID-19 public health emergency. The notice includes guidance regarding compliance with regulatory filing and submittal requirements during the public health emergency. To read the commissioner's notice, which is addressed to both admitted and non-admitted companies, please [click here](#).

The SLA, in consultation with the California Department of Insurance (CDI), issues this bulletin to further clarify how this guidance applies to the California List of Approved Surplus Line Insurer (LASLI) filing requirements. In regards to LASLI filings requiring signatures/certification/notarization, CDI will accept electronic signatures/certification/notarization during the pandemic public health emergency. When required, the paper original of a properly executed document must be submitted within 90 days of the lifting of the "shelter-in-place" order in California.

In regards to the filing due dates of the LASLI required documents, the dates have been extended for 90-days from the original due dates for non-financial LASLI filings. When submitting documents LASLI Insurers are strongly encouraged to file required documents electronically via the CDI LASLI Portal (<https://cdilasli.insurance.ca.gov>).

The following are frequently asked questions with regards to the notice:

Question: Are LASLI insurers given a 90-day extension to file the required non-financial LASLI documents?

Answer: Yes, this extension applies to all required non-financial LASLI documents. Please note that this extension is limited to the insurer's filing requirements in California and should not be construed to supersede any due dates established by the insurer's domiciliary jurisdiction. CDI expects to receive

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the financial documents when they are available for regulatory filing with the insurer's domiciliary jurisdiction.

Question: Can I submit the documents electronically instead of mailing them?

Answer: Yes, although LASLI insurers can still mail hardcopies of their filings to the CDI, we strongly encourage you to file electronically using the CDI LASLI Portal.

Question: Are signatures required when submitting verification statements like Exhibit F?

Answer: Yes. Additionally, the officer of the insurer can electronically sign the verification statement during the public health emergency.

