



The Surplus Line  
Association of California

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**June 7, 2023**

**BULLETIN #1452**  
**Re: Third-Party Filing Services**

The Surplus Line Association of California (SLA) issues this reminder in regard to the use of third-party filing services to make filings and submit reports to the SLA.

Surplus line brokers may authorize a third-party filing service to make filings on their behalf and grant access to their Surplus Line Insurance Portal (SLIP) account by submitting a Third-Party Filer Agreement. The SLA reminds brokers that the permissible role of a third-party filing service is limited to the user permissions outlined in the Third-Party Filer Agreement. The form is available on the SLA website at <https://learningcenter.slacal.com/resources/filing-requirements-and-procedures/third-party-filing-services>.

The SLA does not approve or otherwise endorse any third-party filing service and does not enter into any special arrangements, considerations, or other agreements with respect to any activities they undertake. Filings by a third-party filing service undergo the same review and are subject to the same standards as filings made directly by surplus line brokers.

The surplus line broker remains responsible under all applicable laws, regulations, and procedures for the accuracy and timeliness of any filing submitted on its behalf. The surplus line broker is also ultimately responsible for adequately addressing or correcting any compliance issues, including any issues involving payment of the stamping fee.

Should you have any questions regarding the content of this bulletin, please direct them to Yusuf Mayet, Vice President, Legal Compliance at [ymayet@slacal.com](mailto:ymayet@slacal.com) or (415) 434-4900.