



The Surplus Line
Association of California

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slacal.com

November 8, 2018

Bulletin #1360

RE: MANDATORY ELECTRONIC FILING EFFECTIVE JANUARY 1, 2019

To All California Surplus Lines Brokers and Filing Personnel,

Effective January 1, 2019, the SLA will no longer accept paper filings (mailed or delivered) or email filings. All filings must be done through the SLA's SLIP system which is simple to use and much safer than email. This requirement is being added to increase the efficiency of filings and to benefit the environment.

Background

At the Surplus Line Association of California's Board of Directors meeting held July 12, 2018, the board unanimously decided and directed the California SLA to require that filings only be accepted through the SLA's online broker portal ("SLIP") effective January 1, 2019. This means any and all filings to the California SLA via paper mail, email, fax or other non-secure method will be returned to the sending broker or filing department. This includes all new business, renewals, endorsements, master policies and certificates, and tag responses.

The California SLIP broker portal is the safest and most reliable method for sending your filings to the SLA, protecting confidential information contained therein, and closely tracking each California surplus lines filing. It allows our filing members to submit and review filing status, receive electronic invoices, respond to tags, and pay stamping fees online. It also streamlines the filing process, and ultimately shortens the time to complete a filing, have it registered by the SLA, and invoiced to you.

To assist with this process and reduce confusion, the SLA is providing the following:

1. The SLA will allow a 90-day grace period for this transition, meaning surplus lines filings other than those submitted through the SLIP portal will still be accepted until March 31, 2019. After March 31, 2019, all filings not received via the SLIP portal will be returned to the sender, and the filing post mark date will not be accepted. There will be no exceptions to this policy.



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2. **The simple 4-step process for using our broker portal to submit online filings will be sent out in the upcoming weeks.** There are several ways in which filings can be submitted through the broker portal, but the easiest entails just a few simple steps. An instructional video of this process will also be distributed, or you can use the tutorials on our website.
3. Our Education and Compliance Department and Technology Department staff are readily available during normal business hours to answer questions, assist with the SLIP filing portal, and set up new user accounts.

We appreciate your cooperation and ongoing compliance. Feel free to call (415) 434-4900 or email support@slacal.org with any questions or assistance you may need.

Sincerely,

Michael Caturegli

Senior Vice President, Data Analysis and Technology
Surplus Line Association of California

