CHECKLIST for ALIEN (NON-US DOMICILED) INSURERS
CALIFORNIA LASLI FILING REQUIREMENTS

Please make checks payable to the California Department of Insurance (CDI). All documents and fees must be sent to the CDI with a cover letter either via the LASLI portal (https://cdilasli.insurance.ca.gov/) or by mail to:

<table>
<thead>
<tr>
<th>LASLI applicants:</th>
<th>Current LASLI companies:</th>
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<tbody>
<tr>
<td>CAB-Intake</td>
<td>Accounting Services Bureau</td>
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<td>State of California, Department of Insurance</td>
<td>State of California, Department of Insurance</td>
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<td>1901 Harrison Street, 6th Floor</td>
<td>300 Capitol Mall</td>
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<tr>
<td>Oakland, CA 94612</td>
<td>Sacramento, CA 95814</td>
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The **cover letter** should state the name of the LASLI company or applicant, the name and contact information of the person submitting documents, a list of the document(s) filed, and the amount of the enclosed required fee, if applicable. **Contact information should include name, mailing address, e-mail address and phone number.**

For LASLI applicants, the documents must be filed in duplicate (one original and one photocopy). For current LASLI companies, only one original copy is required. However, for both LASLI applicants and current LASLI companies, Biographical Affidavits must be filed in triplicate (one original and two photocopies).

If a required document is available from the National Association of Insurance Commissioners (NAIC) or other public source, then the document need not be filed with the CDI. However, the insurer will have to submit a verified statement to the CDI identifying the document that is available from the NAIC or other public source along with the appropriate filing fee.

- **Filing Fee**
  - LASLI Application: $6,724
  - Annual Renewal: $3,363
  - Updated Financial Document: $375
  - Updated Non-Financial or Supplemental Document: $55

- **Audited Financial Report (including financial statements converted into US dollars)**
  - Certified or Verified

- **Standard IID (International Insurers Department) Financial Reporting Format**
  - Certified or Verified

- **Certified Trust Agreement (as Amended 1/1/2007)**

- **Verified List of Trust Assets as of March 31st**
- **Verified List of Trust Assets as of June 30th**
- **Verified List of Trust Assets as of September 30th**
- **Verified List of Trust Assets as of December 31st**

- **Certified Current License or Certificate of Authority**

- **Certificate of Good Standing or Certificate of Compliance** If the domiciliary jurisdiction does not issue such a certificate, see Exhibit D.

- **Agent for Service of Process**

- **Principal Place of Business**

- **Market Conduct Report/Information**
  - Certified or Verified

- **Verified Regulatory Disclosure Statement**

- **Premiums Written in California by Lines of Business**

- **Proposed Business Plan/Plan of Operation in California**

- **Biographical Affidavit on the Officers and Directors**

- **Report of Examination, if available**
  - Certified or Verified

- **List of Surplus Lines Brokers Authorized to Issue Policies**

- **Verified Quarterly or Half-Yearly Statement/Results as of _________________**

*As of May 1, 2020*